

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
DC10029PCT1

In Re Application Of: SCHALK et. al

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/588830			00137		

Title: Organic Light-Emitting Diode

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. DC10029PCT1	
In Re Application: <span style="float: right;">SCHALK et. al</span>					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/588860			00137		
Title: Organic Light-Emitting Diode					
<div style="text-align: center;"> <b>Payment of Fee</b>          (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))       </div> <div style="margin-top: 10px;"> <input type="checkbox"/> A check in the amount of _____ is attached.  <input type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 04-1520 as described below.           <div style="margin-left: 20px;"> <input type="checkbox"/> Charge the amount of _____ \$  <input type="checkbox"/> Credit any overpayment.  <input type="checkbox"/> Charge any additional fee required.           </div> </div> <div style="margin-top: 10px;"> <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.         </div> <div style="margin-top: 10px;"> <b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b> </div> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 45%;"> <div style="text-align: center; border-bottom: 1px solid black; margin-bottom: 5px;"> <b>Certificate of Transmission by Facsimile*</b> </div> <div style="border: 1px solid black; padding: 5px; min-height: 100px;">           I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)         </div> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">           _____            (Date)         </div> <div style="border: 1px solid black; padding: 5px; margin-top: 5px; text-align: center;">           Signature         </div> <div style="border: 1px solid black; padding: 5px; margin-top: 5px; text-align: center;">           Typed or Printed Name of Person Signing Certificate         </div> </div> <div style="width: 45%;"> <div style="text-align: center; border-bottom: 1px solid black; margin-bottom: 5px;"> <b>Certificate of Mailing by First Class Mail</b> </div> <div style="border: 1px solid black; padding: 5px; min-height: 100px;">           I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(e) _____]         </div> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">           _____            (Date)         </div> <div style="border: 1px solid black; padding: 5px; margin-top: 5px; text-align: center;">           Signature of Person Mailing Correspondence            Christine M. Fitak         </div> <div style="border: 1px solid black; padding: 5px; margin-top: 5px; text-align: center;">           Typed or Printed Name of Person Mailing Certificate         </div> </div> </div> <div style="margin-top: 10px;"> <b>*This certificate may only be used if paying by deposit account.</b> </div> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 45%;"> <div style="text-align: center; margin-bottom: 10px;">             Signature         </div> <div>             Larry Milco              Reg. No. 41,196              989-496-3161              Patent Agent              Mail Number C01232              P.O. Box 994              2200 W. Salzburg Road              Midland, MI 48686-0994              UNITED STATES OF AMERICA           </div> </div> <div style="width: 45%;"> <div style="margin-bottom: 10px;">             Dated: 08/09/06           </div> <div>             CustomerNumber 00137           </div> </div> </div> <div style="margin-top: 20px;">             cc:           </div>					

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

## Complete if Known

Application Number	10/588830
Filing Date	
First Named Inventor	SCHALK
Art Unit	
Examiner Name	
Attorney Docket Number	DC10029 PCT1

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 4,356,429	10-26-1982	Tang, Ching W.	
		US- 4,539,507	09-03-1985	VanSlyke, Steven A., et. al.	
		US- 4,769,292	09-06-1988	Tang, Ching W., et. al.	
		US- 5,247,190	09-21-1993	Friend, Richard H., et. al.	
		US- 5,807,627	09-15-1998	Friend, Richard Henry, et. al.	
		US- 5,952,778	09-14-1999	Haskal, Eliav, et. al.	
		US- 5,969,474	10-19-1999	Arai, Michio	
		US- 6,048,573	04-11-2000	Tang, Ching W.	
		US- 6,255,774 B1	07-03-2001	Pichler, Karl	
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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		WO 95/01871	01/19/1995	Heeger, Alan, et. al.		

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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